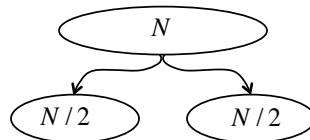


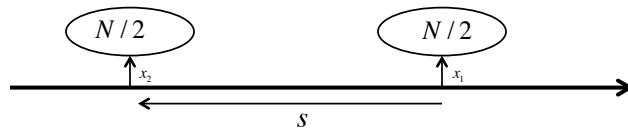
# Macroparticle Models

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A common approach to understanding simple instabilities is to break a bunch into two “macrobunches”



As an example, we will apply this to an electron linac. At high  $\gamma$ ,  $v_s \rightarrow 0$  (ie, no synchrotron motion) , so the longitudinal positions of the particles remain fixed



 From the last lecture, we have

$$F_r = eQ_m mr^{m-1} \cos(m\theta) W_m(s)$$

Consider the lowest order (transverse) mode due to the leading macroparticle

$$\begin{aligned} Q_1 &= \int \rho(r, \theta, z) r \cos \theta dr d\theta dz \\ &= \int \frac{Ne}{2} \delta(x - x') \delta(y) \delta(z - ct) x dx dy dz \\ &= \frac{Ne}{2} x_1 \end{aligned}$$

The force on the second macroparticle will then be

$$\begin{aligned} F_x &= F_r (\cos \theta = 1) \\ &= eQ_1 W_1(s) \\ &= \frac{Ne^2}{2} W_1(s) x_1 \end{aligned}$$

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 If  $x_1$  is executing  $\beta$  oscillations, then

$$x_1 = A_1 \cos \omega_\beta t$$

so the second particle sees

$$\begin{aligned} \ddot{x}_2 + \omega_\beta^2 &= \frac{F_z}{m\gamma} \leftarrow \text{driving term} \\ &= \frac{Ne^2}{2m\gamma} W_1(s) x_1 \\ &= \frac{Ne^2}{2m\gamma} W_1(s) A_1 \cos \omega_\beta t \end{aligned}$$

If the two have the same betatron frequency, then the solution is

$$x_2(t) = A_2 \cos \omega_\beta t + p(t) \leftarrow \begin{array}{l} \text{particular solution} \\ \text{homogeneous solution} \end{array}$$

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Try

$$p(t) = kt \sin \omega_\beta t$$

$$\dot{p}(t) = k \sin \omega_\beta t + kt \omega_\beta \cos \omega_\beta t$$

$$\ddot{p}(t) = 2k \omega_\beta \cos \omega_\beta t - kt \omega_\beta^2 \sin \omega_\beta t$$

Plug this in and we find

$$\begin{aligned} \ddot{x} + \omega_b^2 x &= 2k \omega_\beta \cos \omega_\beta t - \cancel{kt \omega_\beta^2 \sin \omega_\beta t} \\ &\quad + \cancel{kt \omega_\beta^2 \sin \omega_\beta t} \\ &= A_1 \frac{Ne^2}{2m\gamma} W_1 \cos \omega_\beta t \end{aligned}$$

$$\longrightarrow k = A_1 \frac{Ne^2}{4\omega_b m \gamma} W_1 \quad \text{grows with time!}$$

$$\longrightarrow x_2(t) = A_2 \cos \omega_\beta t + A_1 \frac{Ne^2}{4\omega_\beta m \gamma} W_1 t \sin \omega_\beta t$$

This is a problem in linacs, which can cause beam to break up in a length

$$\frac{Ne^2}{4\omega_\beta m \gamma} W_1 t \sim 1 \rightarrow L_{max} = ct \sim \frac{4c\omega_\beta m \gamma}{Ne^2 W_1 (l_b / 2)}$$

wake function -half a bunch length behind

Must keep wake functions as low as possible in design!

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## Strong Head-Tail Instability

In a machine undergoing synchrotron oscillations, this problem is alleviated somewhat, in that the leading and trailing macroparticles change places every ~half synchrotron period

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$$0 < t < \frac{T_s}{2}: \quad \ddot{x}_1 + \omega_\beta^2 x_1 = 0$$

$$\ddot{x}_2 + \omega_\beta^2 x_2 = \frac{Ne^2}{2m\gamma} W_1 x_1$$

$$\frac{T_s}{2} < t < T_s: \quad \ddot{x}_1 + \omega_\beta^2 x_1 = \frac{Ne^2}{2m\gamma} W_1 x_2$$

$$\ddot{x}_2 + \omega_\beta^2 x_2 = 0$$

In an unperturbed system

$$x(t) = x_0 \cos \omega_\beta t + \frac{\dot{x}_0}{\omega_\beta} \sin \omega_\beta t$$

$$\dot{x}(t) = \dot{x}_0 \cos \omega_\beta t - x_0 \omega_\beta \sin \omega_\beta t$$

complex form

$$\iff \tilde{x}(t) \equiv x + \frac{i}{\omega_\beta} \dot{x} = \tilde{x}_0 e^{-i\omega_\beta t}$$

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For the first half period, we plug in the term from the linac case

$$\begin{aligned} x_1(t) &= A_i \cos \omega_\beta t & \tilde{x}_1(t) &= \tilde{x}_1(0) e^{-i\omega_\beta t} \\ x_2(t) &= A_2 \cos \omega_\beta t + \frac{Ne^2}{4\omega_\beta m\gamma} W_i A_i t \sin \omega_\beta t & \Rightarrow & \tilde{x}_2(t) = \tilde{x}_2(0) e^{-i\omega_\beta t} + i \frac{Ne^2}{4\omega_\beta m\gamma} W_i t \tilde{x}_1(0) e^{-i\omega_\beta t} \end{aligned}$$

↑ pull out sin() term

We can express this as a matrix. For the first half period, we have

$$\begin{pmatrix} \tilde{x}_1(t) \\ \tilde{x}_2(t) \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ i \frac{Ne^2}{4\omega_\beta m\gamma} W_i t & 1 \end{pmatrix} \begin{pmatrix} \tilde{x}_1(0) \\ \tilde{x}_2(0) \end{pmatrix} e^{-i\omega_\beta t}$$

After half a synchrotron period, we have

$$\begin{pmatrix} \tilde{x}_1(T_s/2) \\ \tilde{x}_2(T_s/2) \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ i\kappa & 1 \end{pmatrix} \begin{pmatrix} \tilde{x}_1(0) \\ \tilde{x}_2(0) \end{pmatrix} e^{-i\omega_\beta(T_s/2)}; \quad \kappa \equiv \frac{Ne^2 W_i T_s}{8\omega_\beta m\gamma}$$

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For the second half of the synchrotron period, we get.

$$\begin{pmatrix} \tilde{x}_1(T_s) \\ \tilde{x}_2(T_s) \end{pmatrix} = \begin{pmatrix} 1 & i\kappa \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \tilde{x}_1(T_s/2) \\ \tilde{x}_2(T_s/2) \end{pmatrix} e^{-i\omega_\beta(T_s/2)}$$

For the second half of the synchrotron period, we get.

$$\begin{aligned} \begin{pmatrix} \tilde{x}_1(T_s) \\ \tilde{x}_2(T_s) \end{pmatrix} &= \begin{pmatrix} 1 & i\kappa \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & \\ i\kappa & 1 \end{pmatrix} \begin{pmatrix} \tilde{x}_1(0) \\ \tilde{x}_2(0) \end{pmatrix} e^{-i\omega_\beta T_s} \\ &= \begin{pmatrix} 1 - \kappa^2 & i\kappa \\ i\kappa & 1 \end{pmatrix} \begin{pmatrix} \tilde{x}_1(0) \\ \tilde{x}_2(0) \end{pmatrix} e^{-i\omega_\beta T_s} \end{aligned}$$

We proved a long time ago that after many cycles, motion will only be stable if

$$|\text{Tr}(M)| = |2 - \kappa^2| \leq 2 \rightarrow \boxed{\frac{Ne^2 W_i T_s}{16\omega_\beta m\gamma} \leq 1} \quad \text{"strong head-tail instability" threshold}$$

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We now consider the tune differences caused by chromaticity

$$\omega_\beta = 2\pi v f$$

↑  
revolution frequency  
tune

If the momentum changes by  $\delta = \frac{\Delta p}{p}$

$$\begin{aligned} \omega_\beta(\delta) &= 2\pi v(\delta) f(\delta) \\ &= 2\pi v(v_0 + \xi\delta) f_0(1 - \eta\delta) \\ &\quad \uparrow \qquad \uparrow \text{slip factor} \\ &\quad \text{chromaticity} \\ &= 2\pi v_0 f_0 + 2\pi f_0 \xi \delta - 2\pi f_0 v_0 \eta \delta + \mathcal{O}(\delta^2) \\ &\approx \omega_\beta + \omega_0 \xi \delta \\ &\quad \uparrow \text{revolution angular frequency} \end{aligned}$$

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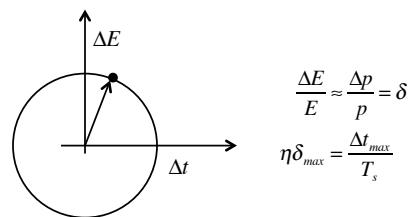
Now we write the positions of our macroparticles as

$$\begin{array}{ccc} \Delta t_1 & = & \Delta t_0 \sin \omega_s t \\ \Delta t_2 & = & -\Delta t_0 \sin \omega_s t \end{array}$$

Make  $s$  the independent variable

$$\Delta t_1 = \Delta t_0 \sin \omega_s \frac{s}{c}$$

$$\Delta t_2 = -\Delta t_0 \sin \omega_s \frac{s}{c}$$



$$\frac{\Delta E}{E} \approx \frac{\Delta p}{p} = \delta$$

$$\eta \delta_{max} = \frac{\Delta t_{max}}{T_s}$$

We calculate the accumulated phase angle

$$\begin{aligned} \phi &= \int \omega_\beta(\delta) dt' = \frac{1}{c} \int \omega_\beta(\delta) ds' \\ &= \frac{1}{c} \int \omega_\beta ds' + \omega_0 \xi \frac{1}{c} \int \delta ds' \\ &= \frac{\omega_\beta s}{c} - \frac{\omega_0 \xi}{\eta} \Delta t_0 \sin \left( \frac{\omega_\beta s}{c} \right) \end{aligned}$$

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So we can write

$$x_1(s) = \tilde{x}_1(0)e^{-i\left(\frac{\omega_\beta s}{c} - \frac{\xi\omega_0}{\eta}\Delta t_0 \sin \frac{\omega_s s}{c}\right)}$$

$$x_2(s) = \tilde{x}_2(0)e^{-i\left(\frac{\omega_\beta s}{c} + \frac{\xi\omega_0}{\eta}\Delta t_0 \sin \frac{\omega_s s}{c}\right)}$$

We identify the angular term and  $\omega$  and write out equation

$$\begin{aligned} \ddot{x}_2 + \omega^2 x_2 &= \frac{F}{m\gamma} \\ c^2 \frac{d^2 x_2}{ds^2} + \left[ \omega_\beta + \frac{\xi\omega_0\Delta t_0\omega_s}{\eta} \cos \frac{\omega_s s}{c} \right]^2 x_2 &= \frac{Ne^2 W_1}{2m\gamma} x_1 \end{aligned}$$

Assume that the amplitude is changing slowly over time, we look at the first term

$$x_2(s) \approx \tilde{x}_2 e^{-i\left(\frac{\omega_\beta s}{c} + \frac{\xi\omega_0}{\eta}\Delta t_0 \sin \frac{\omega_s s}{c}\right)}$$

$$c^2 \frac{dx_2}{ds} = c^2 \left[ \frac{d\tilde{x}_2}{ds} - i\left(\frac{\omega_\beta s}{c} + \frac{\xi\omega_0}{\eta}\Delta t_0 \frac{\omega_s}{c} \cos \frac{\omega_s s}{c}\right) \tilde{x}_2 \right] e^{-i\left(\frac{\omega_\beta s}{c} + \frac{\xi\omega_0}{\eta}\Delta t_0 \sin \frac{\omega_s s}{c}\right)}$$

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assume  $\frac{d^2}{ds^2} \tilde{x}_2 \approx 0$

will cancel “spring constant” term

$$\rightarrow c^2 \frac{d^2 x_2}{ds^2} \approx c^2 \left[ -2i \left( \frac{\omega_\beta s}{c} + \frac{\xi\omega_0}{\eta} \Delta t_0 \frac{\omega_s}{c} \cos \frac{\omega_s s}{c} \right) \frac{d\tilde{x}_2}{ds} - \left( \frac{\omega_\beta s}{c} + \frac{\xi\omega_0}{\eta} \Delta t_0 \frac{\omega_s}{c} \cos \frac{\omega_s s}{c} \right)^2 \tilde{x}_2 \right] e^{-i\left(\frac{\omega_\beta s}{c} + \frac{\xi\omega_0}{\eta}\Delta t_0 \sin \frac{\omega_s s}{c}\right)}$$

$$\begin{aligned} \rightarrow c^2 \frac{d^2 x_2}{ds^2} + [\dots] x_2 &\approx c^2 \left[ -2i \left( \frac{\omega_\beta s}{c} + \frac{\xi\omega_0}{\eta} \Delta t_0 \frac{\omega_s}{c} \cos \frac{\omega_s s}{c} \right) \frac{d\tilde{x}_2}{ds} \right] e^{-i\left(\frac{\omega_\beta s}{c} + \frac{\xi\omega_0}{\eta}\Delta t_0 \sin \frac{\omega_s s}{c}\right)} \\ &= \frac{Ne^2 W_1}{2m\gamma} \tilde{x}_1 e^{-i\left(\frac{\omega_\beta s}{c} + \frac{\xi\omega_0}{\eta}\Delta t_0 \sin \frac{\omega_s s}{c}\right)} \end{aligned}$$

If we assume  $\omega_s \ll \omega_b$

$$\begin{aligned} \frac{d\tilde{x}_2}{ds} &= i \frac{Ne^2 W_1}{4m\gamma\omega_b c} \tilde{x}_1 e^{2i\left(\frac{\xi\omega_0}{\eta}\Delta t_0 \sin \frac{\omega_s s}{c}\right)} \\ &\approx i \frac{Ne^2 W_1}{4m\gamma\omega_b c} \tilde{x}_1 \left( 1 + 2i \left( \frac{\xi\omega_0}{\eta} \Delta t_0 \sin \frac{\omega_s s}{c} \right) \right) \end{aligned}$$

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Integrate

$$\tilde{x}_2(s) = \tilde{x}_2(0) + i \frac{Ne^2 W_1}{4m\gamma\omega_b c} \tilde{x}_1 \left( s + 2i \frac{\xi\omega_0}{\eta\omega_s} c\Delta t_0 \left( 1 - \cos \frac{\omega_s s}{c} \right) \right)$$

Now we can obtain the evolution over half a period with

$$\begin{aligned} s &= \frac{T_s}{2} c = \pi \frac{c}{\omega_s} \\ \tilde{x}_2(T_s/2) &= \tilde{x}_2(0) + i \frac{Ne^2 W_1}{4m\gamma\omega_b c} \tilde{x}_1 \left( \frac{T_s}{2} c + i \frac{4\xi\omega_0 c \Delta t_0}{\eta\omega_s} \right) \\ &= \tilde{x}_2(0) + i \frac{T_s Ne^2 W_1}{8m\gamma\omega_b c} \tilde{x}_1 \left( 1 + i \frac{4\xi\omega_0 c \Delta t_0}{\pi\eta} \right) \\ &\equiv \tilde{x}_2(0) + i\kappa \end{aligned}$$

Compare to our simple case where

$$|2 - \kappa^2| \leq 2$$

$$\kappa = \frac{T_s Ne^2 W_1}{8m\gamma\omega_b c}$$

We have added an imaginary part due to the chromaticity

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We look at our previous matrix

$$\begin{pmatrix} \tilde{x}_1(T_s) \\ \tilde{x}_2(T_s) \end{pmatrix} = \begin{pmatrix} 1 - \kappa^2 & i\kappa \\ i\kappa & 1 \end{pmatrix} \begin{pmatrix} \tilde{x}_1(0) \\ \tilde{x}_2(0) \end{pmatrix} e^{-i\omega_b T_s}$$

Once more, stability requires  $|2 - \kappa^2| \leq 2$

Define eigenvalues

$$\lambda_{\pm} = e^{\pm\mu}$$

$$\text{Tr}(\mathbf{M}) = 2 \cos \mu = 2 - \kappa^2$$

For low intensity

$$\mu = \pm \kappa$$

So any the imaginary part of  $\kappa$  will give rise to growth

$$\tilde{x}(t) = \tilde{x}(0) e^{\pm \frac{T_s Ne^2 W_1 \xi \omega_0 \Delta t_0}{2m\gamma\omega_b \pi\eta} t} = \tilde{x}(0) e^{\pm \frac{Ne^2 W_1 \xi \omega_0 \Delta t_0}{2m\gamma\omega_b \pi\eta} t}$$

In fact, we'll see that other factors, make adding chromaticity important, particularly above transition.

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